

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

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	:	ELECTRONICALLY FILED
JEFFREY S. VAUGHN, individually and on	:	
behalf of those Class Members similarly situated,	:	Case No. 04 Civ. 8391 (DLC)
	:	
Plaintiff,	:	
	:	AFFIRMATION OF
-against-	:	BLAINE H. BORTNICK
	:	IN SUPPORT OF MOTION
LEEDS, MORELLI & BROWN, P.C., LEEDS,	:	TO DIRECT ENTRY OF
MORELLI & BROWN, L.L.P., LEEDS &	:	FINAL JUDGMENT UNDER
MORELLI, LEEDS, MORELLI & BROWN,	:	FED. R. CIV. P. 54(b)
PRUDENTIAL SECURITIES, INC.,	:	
PRUDENTIAL FINANCIAL, INC., LENARD	:	
LEEDS, STEVEN A. MORELLI, JEFFREY K.	:	
BROWN, JAMES VAGNINI, FREDERIC	:	
DAVID OSTROVE, ROBERT JOHN VALLI,	:	
JR., DISCRIMINATION ON WALL STREET,	:	
INC. DISCRIMINATION ON WALL STREET,	:	
MANHATTAN, INC., JOHN DOES, 1-10 AND	:	
JANE DOES, 1-10 a fictitious designation for	:	
presently unknown licensed attorneys,	:	
professionals and/or unknown persons or entities,,	:	
	:	
Defendants.	x	

Blaine H. Bortnick, an attorney duly admitted to practice in the courts of the State of New York and the Southern District of New York, states under penalty of perjury:

1. I am a member of the law firm of Liddle & Robinson, L.L.P., counsel for Jeffrey S. Vaughn, individually and on behalf of those Class Members similarly situated (collectively "Plaintiffs"). I submit this Affirmation in support of Plaintiffs' Motion to Direct Entry of Final Judgment under Fed. R. Civ. P. 54(b) of the Court's March 20, 2006

Order Dismissing Five Individual Defendants. I am fully familiar with the facts and circumstances set forth hereafter.

2. True and correct copies of the following documents are attached hereto:

Exhibit A: March 20, 2006 Order issued by the Honorable Denise L. Cote of the United States District Court for the Southern District of New York, dismissing the case against Lenard Leeds, Steven A. Morelli, James Vagnini, Federic David Ostrove, and Robert John Valli, Jr.

Exhibit B: Affidavit of Angela M. Roper, sworn on May 23, 2005.

3. Based on this Affirmation and the exhibits attached thereto, and for the reasons stated in the accompanying Memorandum of Law, Plaintiffs respectfully request that this Court (i) direct entry of final judgment under Fed. R. Civ. P. 54(b) of the Court's March 20, 2006 Order, and (ii) grant Plaintiffs whatever further relief this Court deems just and proper.

Dated: New York, New York
June 16, 2006

_____/s/
Blaine H. Bortnick (BB 3686)

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

-----X
:
JEFFREY S. VAUGHN, individually and on :
behalf of those class members similarly :
situated, :

Plaintiff, :

-v- :

LEEDS, MORELLI & BROWN, P.C., LEEDS, :
MORELLI & BROWN, L.L.P., LEEDS & :
MORELLI, LEEDS, MORELLI & BROWN, :
PRUDENTIAL SECURITIES, INC., PRUDENTIAL :
FINANCIAL, INC., LENARD LEEDS, STEVEN :
A. MORELLI, JEFFREY K. BROWN, and JOHN :
DOES, JAMES VAGNINI, FREDERIC DAVID :
OSTROVE, ROBERT JOHN VALLI, JR., :
DISCRIMINATION ON WALL STREET, INC. and :
DISCRIMINATION ON WALL STREET :
MANHATTAN, INC., and JOHN DOES, ESQS. :
1-10 and JANE DOES, ESQS., 1-10 a :
fictitious designation for presently :
and unknown licensed attorneys, :
professionals and/or unknown persons or :
entities, :

Defendants. :

-----X
DENISE COTE, District Judge:

This Opinion and Order addresses an application for an extension to serve five individual defendants. On October 25, 2004, Jeffrey S. Vaughn ("Vaughn") filed a putative class action against his employer and Leeds, Morelli & Brown, P.C. ("Leeds"), the law firm he retained to represent him in an employment discrimination dispute with his employer. Vaughn's employer moved to compel arbitration, and through an Opinion and Order of August 12, 2005, that motion was granted and this case was stayed pending resolution of the arbitration proceeding. Vaughn v. Leeds, Morelli & Brown, P.C., et al., 04 Civ. 8391 (DLC), 2005 WL

04 Civ. 8391 (DLC)

MEMORANDUM OPINION
AND ORDER

<p>USDC SDNY DOCUMENT ELECTRONICALLY FILED DOC #: DATE FILED: 3/20/06</p>

1949468 (S.D.N.Y. Aug. 12, 2005).

The following actions relevant to the request for an extension to serve certain individual defendants associated with Leeds occurred before the stay was issued. On November 29, 2004, the Court endorsed a stipulation between plaintiff, Leeds and Jeffrey K. Brown ("Brown"), an attorney at Leeds, extending the two defendants' time to answer, with the agreement that neither defendant would assert any defense related to improper service. At some point before January 12, 2005, plaintiff's current counsel replaced his original attorney. An endorsement of January 12, 2005 approved an agreement between the plaintiff's current counsel and Leeds extending Leeds' time to respond to the plaintiff's amended complaint. At the request of plaintiff, the initial pretrial conference was adjourned from January 21 to March 4. On February 23, the agreement further extending Leeds' time to respond to the amended complaint was approved by the Court. Neither the January 12 nor February 23 document referred to Brown, who had been served, or to any of the individual defendants associated with Leeds who had yet to be served. In late February, the 120 days to effect service expired. The plaintiff made no request to extend the time to serve any defendant.

As of the conference on March 4, the plaintiff had still not served an amended complaint; he was ordered to file his first amended complaint by March 15, 2005. On April 22, 2005, the lawyers from Leeds and individual attorneys associated with that law firm (collectively "Leeds Defendants") moved to dismiss the

amended complaint, arguing inter alia that the plaintiff had not served five individual defendants (Lenard Leeds, Steven A. Morelli, Janes Vagnini, Frederic David Ostrove, Robert John Valli, Jr.) who are associated with the firm. In response to the motion to dismiss, Vaughn moved for an extension of time to serve these defendants. To this day, plaintiff has not filed any affidavit of service reflecting service of either the complaint or the amended complaint on any defendant.

It is undisputed that the only individual defendant associated with Leeds that was served by the plaintiff is Brown. The plaintiff has not provided any reason for failing to serve five individual defendants within the 120 days required by Rule 4, Fed. R. Civ. P., or made any showing of diligence. The plaintiff asserts that he relied on his first counsel to serve all defendants, but has not provided any explanation from that prior counsel for the failure to timely serve all defendants.

While it is no longer required that a plaintiff's request to extend time to serve be supported by good cause, see Henderson v. United States, 517 U.S. 654, 662 (1996) (citing to Advisory Committee Notes on Rule 4, Fed. R. Civ. P.), that change in the law does not make an inquiry into diligence or the circumstances surrounding the failure to serve irrelevant. If service is not waived, then a party is required to file proof with the Clerk of Court. Fed. R. Civ. P. 4(1). Any review of the docket sheet would have shown that no proof of service had been filed in this case. Moreover, a review of the stipulations entered between November 29 and February 23 would have shown that the only Leeds

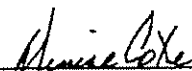
Defendants who had executed stipulations were Leeds and Brown. Indeed, it was plaintiff's current counsel who executed the stipulations endorsed on January 12 and February 23, neither of which makes any reference to any individual defendant associated with Leeds. This reflects an utter lack of concern regarding the individual Leeds Defendants, including the issue of whether service had been made within the time allowed by the federal rules. Given the failure of the plaintiff to explain adequately the absence of timely service on the five individual defendants, their motion to dismiss is granted.

Conclusion

The motion by Lenard Leeds, Steven A. Morelli, James Vagnini, Federic David Ostrove, and Robert John Valli, Jr. to dismiss the complaint is granted. The remainder of the motion to dismiss filed by the Leeds Defendants is denied with leave to be renewed through a letter request filed within 30 days of the stay being lifted.

SO ORDERED:

Dated: New York, New York
March 20, 2006



DENISE COTE
United States District Judge

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

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JEFFREY S. VAUGHN, individually and on behalf :
of those Class Members similarly situated, :

Plaintiff, :

-against- :

LEEDS, MORELLI & BROWN, P.C., LEEDS, :
MORELLI & BROWN, L.L.P., LEEDS & :
MORELLI, LEEDS, MORELLI & BROWN, :
PRUDENTIAL SECURITIES, INC., :
PRUDENTIAL FINANCIAL, INC., LENARD :
LEEDS, STEVEN A. MORELLI, JEFFREY K. :
BROWN, JAMES VAGNINI, FREDERIC DAVID :
OSTROVE, ROBERT JOHN VALLI, JR., :
DISCRIMINATION ON WALL STREET, INC. :
DISCRIMINATION ON WALL STREET, :
MANHATTAN, INC., JOHN DOES, 1-10 AND :
JANE DOES, 1-10 a fictitious designation for :
presently unknown licensed attorneys, professionals :
and/or unknown persons or entities, :

Defendants. :
----- X

Case No. 04 Civ. 8391 (DLC)

**AFFIDAVIT OF
ANGELA M. ROPER**

ANGELA M. ROPER, upon her oath, hereby deposes and says:

1. I am an Attorney-at-Law of the State of New Jersey and am co-counsel in the above-referenced matter. I have not appeared in this matter.

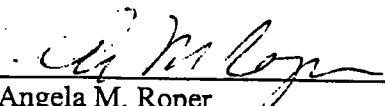
2. Initially, Mr. Vaughn was also represented by Constantino Fragale, Esquire, a New York attorney. Mr. Fragale filed this action. Mr. Vaughn and this office relied

upon Mr. Fragale to serve the Complaint in this matter, and Mr. Fragale informed me that the Complaint had been served upon all Defendants. We relied upon Mr. Fragale in this regard.

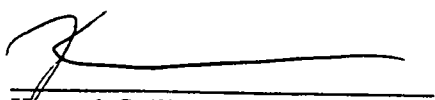
3. It has been my experience that Mr. Fragale is diligent and reliable in all of my dealings with him, and I am sure that any failure to have any of the Defendants served personally was an inadvertent oversight.

4. Subsequently, Mr. Fragale was contacted by counsel for all of the Leeds-Morelli Defendants and executed Stipulations extending time for the Defendants to Answer. It is my understanding that at that time he was not alerted that any of the Defendants had not been served individually or were claiming some defect in the service of process.

5. It is also my understanding that the Court had an initial conference in this matter. My associate, Kenneth S. Thyne, Esq., attended this conference and indicated to me that counsel for Leeds-Morelli were at the conference and at no time did the issue of failure to personally serve any of the Defendants arise.


Angela M. Roper

Sworn to before me
this 23rd day of May, 2005


Kenneth S. Thyne
An Attorney at Law of New Jersey